

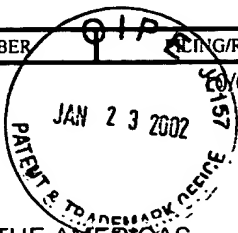


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/972,546	06/06/2001	Stephen M. Strittmatter	A116

1473
FISH & NEAVE
1251 AVENUE OF THE AMERICAS
50TH FLOOR
NEW YORK, NY 10020-1105



CONFIRMATION NO. 4440

FORMALITIES LETTER



OC000000007058869

Date Mailed: 11/13/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

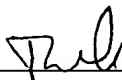
The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*



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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

09/23/03 10:54:00



A116 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner : Not yet assigned
Group Art Unit : 1645
Applicants : Stephen M. Strittmatter, et al.
Application No. : 09/972,546
Confirmation No. : 4440
Filed : October 6, 2001
For : NOGO RECEPTOR HOMOLOGS

New York, New York
January 10, 2002

Hon. Commissioner for Patents
Washington, D.C. 20231
Box MISSING PARTS

REPLY TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

This is in reply to the November 13, 2001 Notice to File Missing Parts ("Notice"), a copy of which is enclosed herewith.

Applicant is filing this reply within two months from the date of the Notice – January 13, 2002 – and thus, no extension fee is due.

The enclosed Notice states that applicants must provide (1) substitute drawings in compliance with 37 C.F.R. §1.84, (2) a computer readable form ("CRF")

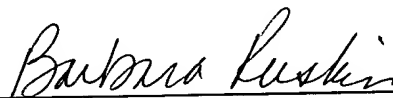
copy of the Sequence Listing, and (3) a statement as required by 37 C.F.R. §§ 1.821 or 1.825.

In accordance with the Notice, applicants submit herewith substitute drawings in compliance with 37 C.F.R. §1.84, a CRF copy of the Sequence Listing in ASCII (DOS) text, a substitute paper copy of the Sequence Listing (with added SEQ ID NOS. 18 and 19), a Statement Under 37 C.F.R. § 1.825(a) and (b) and a Statement in accordance with 37 C.F.R. §§ 1.821-1.825 that the contents of the paper and CRF copies of the Sequence Listing are the same and do not include new matter. Applicants request entry of the substitute Sequence Listing.

In view of the above, applicants believe that all of the requirements of the Notice have been met.

The Director is hereby authorized to charge any fee that may be due, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this reply is enclosed herewith.

Respectfully submitted,



James F. Haley (Reg. No. 27,794)

Barbara A. Ruskin (Reg. No. 39,350)

Attorneys for Applicant

c/o FISH & NEAVE

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1251 Avenue of the Americas

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ARLINGTON, VA 22202-0207


Claire J. Smith

Signature of Person Signing



A116 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Washington, D.C. 20231
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TRANSMITTAL LETTER

Sir:

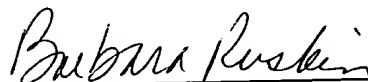
Transmitted herewith for filing are the following:

- 1) Notice to File Corrected Application Papers;
- 2) A Reply to Notice to File Corrected Application Papers (in duplicate);
- 3) Substitute Drawings;
- 4) A Paper Copy of the Substitute Sequence Listing (149 pages);
- 5) A Computer Readable Form Copy of the Substitute Sequence Listing;

- 6) A Statement in Support of Amendments to Sequence Listing under 37 C.F.R. § 1.825(a) and in Support of Computer Readable Form Submission under 37 C.F.R. § 1.825(b);
- 7) A Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825;
- 8) A Request for Corrected Filing Receipt (in duplicate); and
- 9) A Marked-up Copy of the Filing Receipt.

The Director is hereby authorized to charge payment of any fees required in connection with any of these documents, or to credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,



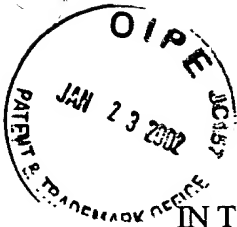
James F. Haley (Reg. No. 27,794)
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Attorneys for Applicant
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ARLINGTON, VA 22202-0327 on

January 10, 2002

Signature of Person Signing



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New York, New York
January 10, 2002

Hon. Commissioner for Patents
Washington, D.C. 20231

Attn: Office of Initial Patent Examination
Customer Service Center

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicants request that a Corrected Filing Receipt be issued in the above application. The Filing Receipt incorrectly recites the address of one of the applicants, Stephen M. Strittmatter, as "Clinton, CT." The correct address of Stephen M. Strittmatter is "Guilford, CT."

Applicants respectfully request that the United States Patent and Trademark Office issue a corrected Filing Receipt in which the address of Stephen M.

Strittmatter is correctly recited as "Guilford, CT", as indicated on the enclosed marked-up copy of the Filing Receipt.

The Director is hereby authorized to charge any fee that may be due, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this request is enclosed herewith.

Respectfully submitted,

Barbara Ruskin

James F. Haley (Reg. No. 27,794)
Barbara A. Ruskin (Reg. No. 39,350)
Attorneys for Applicant
c/o FISH & NEAVE

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PATENTS
P.O. Box 2327
Arlington, VA 22202 on

January 10, 2002
Charles J. Saint

Signature
Signature of Person Signing



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Barbara A. Ruskin (Reg. No. 39,350)
Attorneys for Applicant
c/o FISH & NEAVE

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BOSTON, MA 02202
January 19, 2002
Claire J. Sainty
Signature of Person Signing

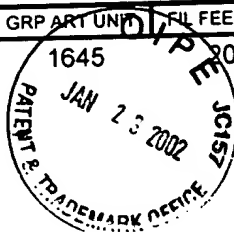


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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/972,546	10/06/2001	1645	2040	A116	6	30	7

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NEW YORK, NY 10020-1105



CONFIRMATION NO. 4440

FILING RECEIPT



OC000000007058868

Date Mailed: 11/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guilford

Stephen M. Strittmatter, Clinton, CT;
Richard L. Cate, Cohasset, MA;
Dinah W. Y. Sah, Boston, MA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/238,361 10/06/2000

Foreign Applications

If Required, Foreign Filing License Granted 11/13/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

RECEIVED

NOV 19 2001

Title

Nogo receptor homologs

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Preliminary Class

435